## **AMENDMENTS TO THE CLAIMS**

This listing of claims will replace all prior versions, and listings, of claims in the application:

## **Listing of Claims:**

1. (Currently Amended): A process for preparing 5-[2-[4-(1,2-benzisothiazol-3-yl)-1-piperazinyl] ethyl]-6-chloro-1,3-dihydro-2H-indol-2-one (ziprasidone) of the formula I: Ziprasidone of formula (I):

$$0 = \bigvee_{N = S}^{CI} \bigvee_{N = S}^{CI} - 1$$

or a pharmaceutically acceptable salt thereof; or a solvate or a hydrate thereof.; which comprises:

a) silylating 1-(1,2-benzisothiazol-3-yl)piperazine of the formula II:

with a silylating agent to form a compound of the formula III:

$$R_3Si-N$$
 $N-S$ 

wherein R is independently alkyl;

b) reacting the silyl compound of the formula III with a 5-(2-haloethyl)-6-chloro-oxindole compound of the formula IV:

wherein X is fluoro, chloro, bromo or iodo;

in a solvent in the presence of a base to neutralize the hydrohalic acid, at about 40<sup>o</sup>C to the reflux temperature of the solvent used to form the compound of formula I and optionally converting the compound of formula I into a pharmaceutically acceptable acid addition salt thereof, or a solvate or a hydrate thereof.

- 2. (Previously Presented): The process according to claim 1 wherein the silylation step(a) is carried out with a silylating agent in the presence of a solvent and a tertiary amine base.
- 3. (Previously Presented) The process according to claim 2 wherein the silylating agent is selected from trialkylsilyl halides, N,O-bis(trimethylsilyl)-acetamide and N,N'-bis(trimethylsilyl)-urea.
- 4. (Previously Presented): The process according to claim 3 wherein the silylating agent is selected from trialkylsilyl halides.
- 5. (Previously Presented): The process according to claim 4 wherein the silylating agent is a trialkyl silyl chloride.
- 6. (Previously Presented): The process according to claim 3 wherein the silylating agent is selected from trimethylsilyl chloride, triethylsilyl chloride, N,O-bis(trimethylsilyl)-acetamide and N,N'-bis(trimethylsilyl)-urea.
- 7. (Previously Presented): The process according to claim 6 wherein the silylating agent is trimethylsilyl chloride.
- 8. (Previously Presented): The process according to claim 1 wherein the solvent used in the silylating step(a) is selected from ethyl acetate, methyl acetate, isopropyl acetate, tert-butyl methyl acetate, ethyl formate, acetonitrile, dimethylsulfoxide, dioxane, cyclohexane, n-hexane, benzene, toluene, xylene, methylene chloride, chloroform, carbontetrachloride,

- ethylene dichloride, acetone, methyl ethyl ketone, methyl isobutyl ketone, diethyl ketone, tert-butyl methyl ether, diethyl ether, diethyl carbonate, and a mixture thereof.
- 9. (Previously Presented): The process according to claim 8 wherein the solvent is selected from methylene chloride, ethylacetate, cyclohexane, ethylene dichloride and a mixture thereof.
- 10. (Previously Presented): The process according to claim 9 wherein the solvent is methylene chloride.
- 11. (Previously Presented): The process according to claim 1 wherein X of the compound of formula IV is chloro, bromo or fluoro.
- 12. (Previously Presented): The process according to claim 11 wherein X is chloro.
- 13. (Previously Presented): The process according to claim 1wherein the solvent used in step(b) is selected from ethyl acetate, methyl acetate, isopropyl acetate, tert-butyl methyl acetate, ethyl formate, methanol, ethanol and isopropyl alcohol, acetonitrile, tetrahydrofuran, dimethylformamide, dimethyl sulfoxide, dioxane, cyclohexane, n-hexane, benzene, toluene, xylene, methylene chloride, chloroform, carbontetrachloride, ethylene dichloride, acetone, methyl ethyl ketone, methyl isovutyl ketone, diethyl ketone, tert-butyl methyl ether, diethyl ether, diethyl carbonate, water and a mixture thereof.
- 14. (Previously Presented): The process according to claim 13 wherein the solvent is selected from dimethylformamide, methylisobutylketone, water or a mixture thereof.
- 15. (Previously Presented): The process according to claim 1 wherein the base used to neutralize hydrochloric acid is selected from alkaline metal carbonates, alkaline metal bicarbonates, anhydrous ammonia, aqueous ammonia, pyridine, hydrides and tertiary amines.
- 16. (Previously Presented): The process according to claim 15 wherein the base is selected from sodium carbonate, potassium carbonate, sodium bicarbonate, potassium bicarbonate, triethylamine and diisopropylethylamine.
- 17. (Previously Presented): The process according claim 16 wherein the base is sodium carbonate or potassium carbonate.
- 18. (Previously Presented): The process according to claim 1, wherein the reaction is carried out at about 50<sup>o</sup>C to the reflux temperature of the solvent used.

- 19. (Previously Presented): The process according to claim 18 wherein the reaction is carried out at about 80°C to the reflux temperature of the solvent used.
- 20. (Previously Presented): The process according to claim 19 wherein the reaction is carried out at the reflux temperature of the solvent used.
- 21. (Previously Presented): The process according to claim 17 wherein the base is sodium carbonate.
- 22. (Previously Presented): The compound of the formula III:

$$R_3$$
Si $-N$ 
 $N$ 
 $N$ 
 $N$ 
 $N$ 
 $N$ 
 $N$ 

wherein the R<sub>3</sub> groups are independently alkyl.

- 23. (Previously Presented): The compound of claim 22 wherein the R groups are independently methyl or ethyl.
- 24. (Previously Presented): The compounds of claim 23 wherein the R groups are all methyl or all ethyl.
- 25. (Previously Presented): A process for preparing ziprasidone of the formula I

$$O = \bigvee_{N = 1}^{N} \bigvee_{N = 1}^{C} \bigvee_{N = 1}^{N} \bigvee_{N = 1}^$$

or a pharmaceutically acceptable salt thereof; or a solvate or a hydrate thereof; which process comprises reacting 1-(1,2-benzisothiazol-3-yl)piperazine of the formula II:

with 5-(2-haloethyl)-6-chloro-oxindole of the formula IV:

wherein X is fluoro, chloro, bromo or iodo;

in the presence of liquor ammonia and an alkaline metal carbonate or alkaline metal bicarbonate to form ziprasidone of the formula I; and optionally converting the ziprasidone formed into a pharmaceutically acceptable acid addition salt of ziprasidone, or a solvate or a hydrate thereof.

- 26. (Previously Presented): The process according to claim 25 wherein X of the formula IV is chloro, bromo or iodo.
- 27. (Previously Presented): The process according to claim 26 wherein X is Cl.
- 28. (Previously Presented): A process according to claim 1 further comprising controlling the mean particle size of ziprasidone, pharmaceutically acceptable acid addition salts of ziprasidone, and solvates and hydrates thereof formed in step (b) by a method of compacting using a compacting machine.
- 29. (Previously Presented): The process according to claim 28 wherein the pharmaceutically acceptable acid addition salt is ziprasidone hydrochloride.
- 30. (Previously Presented): The process according to claim 29 wherein the mean particle size of the product is about 80 microns or above.
- 31. (Previously Presented): A process for preparing ziprasidone of the formula I

$$0 \longrightarrow \bigvee_{N \longrightarrow N} \bigvee_{N \longrightarrow S} \bigvee_{N \longrightarrow S}$$

or a pharmaceutically acceptable salt thereof, or a solvate or a hydrate thereof,

which process comprises reacting 1-(1,2-benzisothiazol-3-yl)piperazine of the formula II:

with 5-(2-haloethyl)-6-chloro-oxindole of formula IV:

wherein X is fluoro, chloro, bromo or iodo;

in the presence of pyridine and aqueous monomethylamine to form ziprasidone of the formula I and optionally converting the ziprasidone formed into a pharmaceutically acceptable acid addition salt of ziprasidone, or a solvate or a hydrate thereof.

- 32. (Previously Presented): The process according to claim 31 wherein X of the formula IV is chloro, bromo or iodo.
- 33. (Previously Presented): The process according to claim 32 wherein X is chloro or bromo.
- 34. (Previously Presented): The process according to claim 33 wherein X is chloro.

- 35. (Previously Presented): The process according to claim 31 wherein the pharmaceutically acceptable salt is ziprasidone hydrochloride.
- 36. (Previously Presented): The process according to claim 31 wherein the hydrate is ziprasidone hydrochloride hemihydrate.
- 37. (Previously Presented): A process for purifying ziprasidone free base or a pharmaceutically acceptable acid addition salt of ziprasidone, or a solvate or a hydrate, the process comprising:
  - i) silylating crude ziprasidone of the formula I:

$$O = \bigvee_{N = S}^{H} CI$$

with a silylating agent to form a silyl compound of the formula V:

$$O = \bigvee_{N = 1}^{SiR'_3} CI$$

$$N = \bigvee_{N = 1}^{N} V$$

wherein R' groups are independently alkyl, and

- ii) deblocking the silyl protecting group of the compound of the formula V formed in step (i) to precipitate ziprasidone of the formula I as ziprasidone free base or a pharmaceutically acceptable acid addition salt, or a solvate or a hydrate thereof as a crystalline salt.
- 38. (Previously Presented): The process according to claim 37 wherein the silylating agent is selected from trialkylsilyl halides, N,O-bis(trimethylsilyl)-acetamide and N,N'-bis(trimethylsilyl)-urea.

- 39. (Previously Presented): The process according to claim 38 wherein the trialkylsilyl halide is trialkylsilyl chloride.
- 40. (Previously Presented): The process according to claim 38 wherein the silylating agent is selected from trimethylsilyl chloride, triethylsilyl chloride, N,O-bis(trimethylsilyl)-acetamide and N,N-bis(trimethylsilyl)-urea.
- 41. (Previously Presented): The process according to claim 40 wherein the silylating agent is trimethyl silyl chloride.
- 42. (Previously Presented): The process according to claim 37 wherein the solvent used in the silylation step is selected from ethyl acetate, methyl acetate, isopropyl acetate, tert-butyl methyl acetate, ethyl formate, acetonitrile, dimethylsulfoxide, dioxane, benzene, toluene, xylene, methylene chloride, chloroform, carbontetrachloride, ethylene dichloride, acetone, methyl ethyl ketone, methyl isobutyl ketone, diethyl ketone, tert-butyl methyl ether, diethyl ether, diethyl carbonate and a mixture thereof.
- 43. (Previously Presented): The process according to claim 42 wherein the solvent used is selected from methylene chloride, ethylacetate, cyclohexane, ethylene dichloride, toluene, carbon tetrachloride and a mixture thereof.
- 44. (Previously Presented): The process according to claim 43 wherein the solvent is selected from methylene chloride, ethyl acetate, cyclohexane, ethylene dichloride and a mixture thereof.
- 45. (Previously Presented): The process according to claim 37 wherein the silylation is carried out in the presence of a tertiary amine base.
- 46. (Previously Presented): The process according to claim 45 wherein the base is triethylamine, N,N-dimethyl-4-aminopyridine or trimethylamine.
- 47. (Previously Presented) The process according to claim 37 wherein the deblocking step(ii) is carried out by contacting the silyl compound of the formula V with a protic solvent, water or an acid for sufficient time to effect deblocking.
- 48. (Previously Presented): The process according to claim 47 wherein the protic solvent is an alcohol, and the acid is selected from hydrochloric acid, hydrobromic acid, hydroiodic acid and methanesulfonic acid.

- 49. (Previously Presented): The process according to claim 48 wherein the alcohol is ethanol or methanol.
- 50. (Previously Presented): The process according to claim 48 wherein the acid is hydrochloric acid.
- 51. (Previously Presented): The process according to claim 50 wherein ziprasidone is isolated as ziprasidone hydrochloride or hydrates thereof.
- 52. (Previously Presented): The process according to claim 51 wherein the hydrates of ziprasidone hydrochloride are ziprasidone hydrochloride hemihydrate or ziprasidone hydrochloride monohydrate.
- 53. (Previously Presented): The process according to claim 52 wherein the hydrate of ziprasidone hydrochloride is ziprasidone hydrochloride hemihydrate.
- 54. (Previously Presented): The process according to claim 48 wherein the protic solvent is methanol.
- 55. (Previously Presented): The process according to claim 47 wherein the solvent is water.
- 56. (Previously Presented): Compounds of the formula V:

wherein the R<sup>1</sup> groups are independently alkyl.

- 57. (Previously Presented): The compounds as defined in claim 56 wherein the R<sup>1</sup><sub>3</sub> group is independently methyl or ethyl.
- 58. (Previously Presented): The compounds as defined in claim 57 wherein the R<sup>1</sup><sub>3</sub> group is methyl or all ethyl.
- 59. (Previously Presented): The process according to claim 11 wherein the solvent used in step(b) is selected from ethyl acetate, methyl acetate, isopropyl acetate, tert-butyl methyl acetate, ethyl formate, methanol, ethanol and isopropyl alcohol, acetonitrile,

- tetrahydrofuran, dimethylformamide, dimethyl sulfoxide, dioxane, cyclohexane, n-hexane, benzene, toluene, xylene, methylene chloride, chloroform, carbontetrachloride, ethylene dichloride, acetone, methyl ethyl ketone, methyl isovutyl ketone, diethyl ketone, tert-butyl methyl ether, diethyl ether, diethyl carbonate, water and a mixture thereof.
- 60. (Previously Presented): The process according to claim 12 wherein the solvent used in step(b) is selected from ethyl acetate, methyl acetate, isopropyl acetate, tert-butyl methyl acetate, ethyl formate, methanol, ethanol and isopropyl alcohol, acetonitrile, tetrahydrofuran, dimethylformamide, dimethyl sulfoxide, dioxane, cyclohexane, n-hexane, benzene, toluene, xylene, methylene chloride, chloroform, carbontetrachloride, ethylene dichloride, acetone, methyl ethyl ketone, methyl isovutyl ketone, diethyl ketone, tert-butyl methyl ether, diethyl ether, diethyl carbonate, water and a mixture thereof.
- 61. (Previously Presented): The process according to claim 59 wherein the solvent is selected from dimethylformamide, methylisobutylketone, water or a mixture thereof.
- 62. (Previously Presented): The process according to claim 60 wherein the solvent is selected from dimethylformamide, methylisobutylketone, water or a mixture thereof.
- Previously Presented): The process according to claim 28 wherein the pharmaceutically acceptable acid addition salt is ziprasidone hydrochloride and the hydrate is ziprasidone hydrochloride hemihydrate.
- 64. (Previously Presented): The process according to claim 63 wherein the mean particle size of the product is about 80 microns or above.
- 65. (Previously Presented): The process according to claim 28 wherein the pharmaceutically acceptable acid addition salt is ziprasidone hydrochloride and the hydrate is ziprasidone hydrochloride monohydrate.
- 66. (Previously Presented): The process according to claim 65 wherein the mean particle size of the product is about 80 microns or above.
- 67. (Previously Presented): A pharmaceutical composition comprising ziprasidone hydrochloride hemihydrate and ziprasidone hydrochloride monohydrate.